

# INFO RANGE

## THE NEWSLETTER OF THE ORANGE COUNTY CHAPTER

INFORMATION SYSTEMS AUDIT AND CONTROL ASSOCIATION

### DINNER SEMINAR (TWO CPES)

AT THE TURNIP ROSE

WEDNESDAY - NOVEMBER 5, 2008

4:00 - 7:00 PM

#### Topic: **Assessing Segregation of Duties and Sensitive Access in SAP**

The presentation will give you an introduction on how to assess Segregation of Duties (SOD) and Sensitive Access (SA) in an SAP environment. It will start with a short recap of the SAP authorization structure to refresh your memory in this area. Please note that the presentation assumes that you have basic knowledge about the SAP authorization concept. After this recap, we will perform a case study illustrating common issues and pitfalls as well as presenting to you a common approach to assess SOD and SA. The presentation will conclude with a demonstration of selected 'backdoors in SAP'.

With this presentation, you will get hands-on experience on assessing SOD and SA and take back a step-wise model of assessing security in your environment.

#### **Our Speaker: Stephan Imbach; PriceWaterhouseCoopers; LLP Managing Director**

Stephan is Managing Director at PricewaterhouseCoopers and a global subject matter specialist for SAP. He is located in Orange County and supports PwC's SAP client base in Southern California. He was born in Europe (Basel, Switzerland), where he has joined PricewaterhouseCoopers in 1993. In 1995, he started to focus on auditing SAP R/3 developing practice aids and tools to support SAP audits. Stephan has led the global development center for our SAP analysis tool ACE (Automated Controls Evaluator) from 1999 to 2005. In 2004, he transferred to US practice to support the local and national SAP efforts. In his role as global leader for SAP ITGC, he is responsible for SAP knowledge management including training and work programs. Further, he is actively involved in defining PwC's SAP auditing strategy.

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**We will be meeting at the  
Turnip Rose at 4:00 PM –  
Check in begins at 3:30 PM**

**To register for this meeting,  
please send an email to  
[registration@isacaoc.org](mailto:registration@isacaoc.org) with  
the date of the event as the  
subject. Please include your  
first and last name, organization,  
and job title information in the  
email.**

**This is a Wednesday  
meeting!**

## President's Corner



Dear Members:

The leaves are falling, the Santa Ana winds are blowing and the nights are cool. As I think about the events of the last couple of weeks, the economic crisis, the increase in unemployment and the uncertainty for employers, employees alike, I want to give you a message of hope and uplift your spirit. While you cannot control the economic changes, you have control over your career and your professional development. You can be certain that the ISACA Orange County Chapter is here to help you take control of your professional development. Use our programs to retool yourself and sharpen your skills. Use the networking opportunities we offer as a way to connect with people, to learn what they do and how you could help them. Networking is not so much about what you get but what you give. Many people wait until they don't have a job to network and then find themselves alone, without anyone to turn to. Don't let this happen to you, network to give, to share, to be a resource to others. Our profession is young, dynamic and requires you to constantly learn, use ISACA's resources to benefit your wealth of knowledge.

I am excited to tell you that the ISACA Orange County Chapter reached an important milestone! As of today, we have 503 members. 44 of them or 8.7% joined since the beginning of this year (7/1/08). While I am excited about this new mark, I think of this milestone as encouragement. The potential and the opportunity that we have are as great as the challenge we face because 90% of our memberships expire December 31, 2008. Thus, October marks the start of the new 2009 **Member-Get-A-Member** campaign and that means that we NEED YOUR HELP!

Many of you ask me how you could help our Chapter ... well this is it! We need your help to continue to grow. We ask you to bring at least **ONE** new member to our chapter. This is doable, **YOU CAN HELP** and remember the bigger we are, the greater benefits and marketability of our profession. If you have a friend or colleagues, who could benefit from our programs, remind him that if he signs up now his membership will be extended from October through December 2009. Thus, his first year membership can give him a few months of free membership. ISACA International gives awards to the chapters for highest number of new members and for highest retention percentage. Therefore, we need you. To register a new member or to renew your membership, please visit [www.isaca.org](http://www.isaca.org).

Remember that our next Chapter meeting is **Wednesday November 5<sup>th</sup>** one day after the Presidential Election please remember to vote, it is our right and our duty.

Best,

Patty Schell, CPA, CISA  
ISACA Orange County President

## WELCOME NEW MEMBERS!

### New Members

Eric Wilson  
Scott A. Wells  
Tom Jacobsen  
Colin Michael Israel  
Sebron Partridge  
Kenji Maeda  
Sarah Bemus  
Robert Kain  
Diosdado A. Nacion, Jr.  
Kevin T. Wilson  
Nick Schrunk  
David Gerendas  
Thomas Angeles  
Kristian Hermansen

### Transfers to our Chapter

Al Nasser Jalil Khan  
Sandra P. Cavan  
Orang Demehry  
Jeffrey Walter Messer  
Nathaniel Hutchings

## Orange County Chapter Event Calendar

(Mark your calendars, more information will be posted in future newsletters and on the web site.)

Date	Topic & Speaker	Details
Nov 5	<b>Assessing Segregation of Duties and Sensitive Access in SAP</b> Stephen Imbach, PWC	<b>2 CPEs - Dinner</b> Turnip Rose, Orange
Nov 20	<b>Implementing FRS and IT and Financial Perspective</b> Cheryl Moersen, Andy Sale, Matt Randolph, Ernst & Young	<b>2 CPEs Dinner - IIA Joint Meeting</b> Dave & Buster, Irvine
Dec 9	<b>Fraud Investigation Methodology, ACFE</b>	<b>2 CPE - Dinner</b> Turnip Rose, Orange
Jan 6	<b>Business Continuity Planning</b> Tim Coates, Deloitte	<b>1 CPE - Lunch</b> Double Tree, Santa Ana
Feb 3	<b>All Day Training Event, Various</b> Various	<b>8 CPEs</b> TBD
Feb 25	<b>Student Night, Various</b> Panel	<b>2 CPEs - Dinner</b> TBD
Mar 3	<b>Auditing Network Parameter Security</b> Joe Luna	<b>1 CPE - Lunch</b> Double Tree
Apr 7	<b>Auditing Web-based Applications,</b> Regular Nominations Begin	<b>2 CPEs - Dinner</b> Turnip Rose
May 5	<b>TBD</b> Nominations are Announced	<b>1 CPE - Lunch</b> Double Tree
May 16	<b>Annual Social</b>	<b>TBD</b> Reception
Jun 2	<b>TBD</b> Elections	<b>2 CPEs - Dinner</b> Turnip Rose



**November 4, is Election Day  
 Make Your Vote Count!**

## 2008 – 2009 ISACA Orange County Board

### Chapter Officers

**President**

Patty Schell  
[president@isacaoc.org](mailto:president@isacaoc.org)

**Vice President & Academic Relations**

Kent Kieffer  
[vicepresident@isacaoc.org](mailto:vicepresident@isacaoc.org)

**Treasurer**

David Barton  
[treasurer@isacaoc.org](mailto:treasurer@isacaoc.org)

**Secretary**

Gary Treffry  
[secretary@isacaoc.org](mailto:secretary@isacaoc.org)

### Chapter Directors

**Programs**

Sarah Liang  
[programs@isacaoc.org](mailto:programs@isacaoc.org)

**Membership**

Jason James  
[membership@isacaoc.org](mailto:membership@isacaoc.org)

**Marketing & Publications**

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### Committee Chair Members

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[webmaster@isacaoc.org](mailto:webmaster@isacaoc.org)

**Corporate Outreach**

Salvador Sarabosing  
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**Student Advocate**

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**CISA Review - Fall**

Mehul Joshi  
[cisareview@isacaoc.org](mailto:cisareview@isacaoc.org)

**Publicity & Jobs Posting**

Albert Kim  
[publicity@isacaoc.org](mailto:publicity@isacaoc.org)

**Annual Social Coordinator**

Mariska Handoyo

**Event Logistic Coordinator**

Sanjeev Tak  
[registration@isacaoc.org](mailto:registration@isacaoc.org)

**Auditor**

Tanuja Margai




# ANNOUNCEMENTS

## Our Chapter

- **Website Redesign:** We are looking for a volunteer with Dream Weaver expertise to help us in our Website redesign project. If you could help us please contact Patty Schell at [president@isacaoc.org](mailto:president@isacaoc.org)
- **Job Postings:** If you would like to post a job on our newsletter please contact Albert Kim at [publicity@isacaoc.org](mailto:publicity@isacaoc.org). Job postings run for two months on our newsletter and website and are free of charge to members. Non-member postings are \$150 for the same period.

## ISACA International

- **CISA Online Review Course:** ISACA launched this online review course to help exam candidates prepare for the December 2008 exam. Sign up to use this new learning tool at [www.isaca.org/elearningcampus](http://www.isaca.org/elearningcampus).
- **CISA Online Review Course:** The CISA Online Review Course was successfully launched and exam candidates are able to use this new learning tool to assist in exam preparation. [www.isaca.org/elearningcampus](http://www.isaca.org/elearningcampus). Buy a subscription for 5 months and get one month free!
- **Hurry!!! CEGIT Grandfathering Opportunity Act Now!!:** Applications under the grandfathering provision will be accepted **until 31 October 2008**, after which individuals will be required to take and pass the exam to qualify for certification.

	<p>The Certified Information Systems Auditor (CISA) is ISACA's cornerstone certification. The CISA certification has been earned by more than 60,000 professionals since inception and is for the IS audit, control, assurance and/or security professionals who wish to set themselves apart from their peers. Since 1978, the CISA certification has been renowned as the globally recognized achievement for those who control, monitor and assess an organization's information technology and business systems.</p> <p style="text-align: right;"><i>Exam Date – December 13, 2008</i></p>
	<p>The Certified Information Security Manager (CISM) certification is a unique management focused certification that has been earned by over 9,000 professionals since its introduction in 2003. Unlike other security certifications, CISM is for the individual who manages, designs, oversees and assesses an enterprise's information security program. CISM defines the core competencies and international performance standards that those who have information security management responsibilities must master.</p> <p style="text-align: right;"><i>Exam Date – December 13, 2008</i></p>
	<p>The IT Governance certification is intended to recognize a wide range of professionals for their knowledge and application of IT governance principles and practices. More than 200 CEGEIT certificates have been awarded. It is designed for professionals who have management, advisory, or assurance responsibilities as defined by a "job practice" consisting of IT governance related tasks and knowledge. Earning this designation will enable professionals to respond to the growing business demand for a comprehensive IT governance program that defines responsibility and accountability across the entire enterprise.</p> <p style="text-align: right;"><i>Exam Date – December 13, 2008</i></p>

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## Joint Meeting with the Orange County IIA Chapter – Dinner

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Dave and Buster's  
Irvine Spectrum  
71 Fortune Drive  
Irvine, California

Thursday, Nov 20, 2008, 5:30 PM --7:30 PM  
2 CPE hours

\$30.00 Members | \$35.00 Non-Members  
\$20.00 Students

RSVP at [registration@isacaoc.org](mailto:registration@isacaoc.org)

Online Registration Deadline November 16, 2008

Payment to be collected upon check-in

After dinner join the ISACA team to beat the IIA in our annual Billiard Competition!! 4 players are wanted. We need all our good Pool Sharks to sign up. Please RSVP, as soon as possible!  
Go ISACA OC!

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## The Orange County Chapters of ISACA and IIA Jointly Present Implementing IFRS from a Financial and IT Perspective

Dave & Buster's, Irvine - Thursday, November 20, 2008

5:00 p.m. – 7:30 p.m.

Presented by  
Cheryl Moersen, Partner, Ernst & Young  
Andy Sale, Partner, Ernst & Young  
Matt Randolph, Senior Manger, Ernst & Young

On 27 August 2008, the SEC approved for release its proposed Roadmap for the mandatory adoption of IFRS by US public companies. IFRS is the single most important initiative in the financial reporting world. Its impact stretches far beyond accounting to affect every key business decision, not just how it is reported. Access to up-to-date knowledge, insights and timely advice is essential. As IFRS becomes the financial reporting language in many more countries, consistent interpretation and application becomes ever more vital. The Ernst & Young IFRS Champions will provide an overview on IFRS and how it impacts your organization, discuss SEC Roadmap for adoption, and explore the cost of compliance.

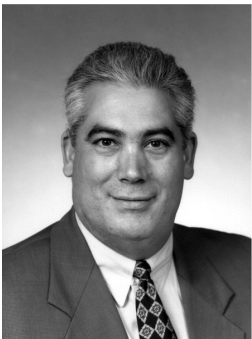
# Implementing IFRS from a Financial and IT Perspective

## Our Speakers



### **Cheryl Moersen, CISA**

Cheryl is a Partner in the Advisory Services practice at Ernst & Young with more than 20 years of information technology audit, assurance, and advisory experience. Cheryl served as the Technology and Security Risk Services Leader for the Pacific South West Area for eight years. She is responsible for the integration, quality and management of IT assurance and advisory services provided to many Ernst & Young's clients. Her experience includes IT financial audit integration, third-party reporting services (SAS70 audits), information technology process and effectiveness, application controls and security, information security, information management and analytics, internal IT audit services, and program advisory services. Cheryl is an IFRS champion for the Pacific Southwest area. Prior to joining Ernst & Young 15 years ago, Cheryl worked in the financial services industry for in an internal audit management role, where she was responsible for directing and providing IT internal audit services.



### **Andy Sale, CPA**

Andy is a Partner in the Advisory Services practice at Ernst & Young with over 23 years of experience serving many of the major companies in Southern California, and is experienced in advising clients on many current business and accounting issues, including revenue recognition, cost capitalization, strategic transactions, and SEC matters. Andy is an IFRS champion for the Pacific Southwest area. In addition to his service to clients, Andy is the Chairman of the Board of Directors of the Federal Reserve Bank of San Francisco (Los Angeles Branch) where he assists the Federal Reserve in monetary policy decisions by providing information about the economic factors faced by companies operating in the southwestern U.S. and in the general U.S. economy.



### **Matt Randolph, CISA, CISSP**

Matt is a Senior Manager in the Advisory Services practice at Ernst & Young with 10 years experience in information systems and security within the U.S., Germany, and Japan. Matt has responsibility for managing and leading the delivery of IT advisory, IT Assurance and Internal Audit related services to clients in the southwestern U.S. Matt is an IFRS champion for the Pacific Southwest area.



## 2008 CISA REVIEW COURSE

**Sponsored By: ISACA Orange County Chapter**  
**Location: Wachovia, 23 Pasteur, Irvine, CA**

The **CISA Review Training Course** will be offered over a 3 Saturdays (8 AM - 5 PM) on November 15, November 22 and December 6, 2008. The examination will be held on December 13, 2008.

You must pre-register online here at [registration@isacaoc.org](mailto:registration@isacaoc.org).

Registration and payment must be received on or before on November 12, 2008. Checks should be written to **ISACA OC** and can be mailed to:

**ISACA Orange County**  
C/O David Barton  
611 Anton Blvd. Suite 110  
Irvine, CA 92626

	ISACA OC Member	Non ISACA OC Member
Training Fee*	\$150.00	\$200.00

\* Materials, breakfast & lunch will be provided.

### Instructors' Profile.

All instructors are CISA certified, and training will be coordinated and managed by Shabnam Jalakian, ISACA Orange County, Education Chair

The course includes a review of the following subjects as required by the CISA Examination:

Date	Subject
Nov 15 AM	IS audit process
Nov 15 PM	IT Governance
Nov 22 AM	Systems and Infrastructure Life Cycle
Nov 22 PM	IT Services Delivery and Support
Dec 6 AM	Protection of Information Assets
Dec 6 PM	Business Continuity and Disaster Recovery



# ISACA, Orange County Chapter

## Your Partner for Success

ADDRESSING THE NEEDS OF INDIVIDUAL  
EDUCATION AND PLANTING THE SEEDS  
FOR A SECURE FUTURE.



Being a member of ISACA OC links you to an organization with a strong reputation and a long history of successes and leadership.

As a member you enjoy access to a vast array of knowledge and benefits. You also have the opportunity to contribute directly to advancing the profession and developing its body of knowledge.

*“ ISACA is here to serve you, our members—to provide a community of IT governance professionals and add to your career development. Jason J ”*

Since its inception, ISACA has become a pace-setting global organization for information governance, control, security and audit professionals. Its IS auditing and IS control standards are followed by practitioners worldwide. Its research pinpoints professional issues challenging its constituents. Its Certified Information Systems Auditor (CISA) certification is recognized globally and has been earned by more than 60,000 professionals since inception.

- Professional Development
- Research and Knowledge
- Community and Leadership
- CISA, CISM, & CGEIT Certification Exams and Discounts
- Local Chapter Education
- Member value – adds up

Please call for advice on membership  
Join NOW & get membership thru Dec 31th 2009



Serving IT Governance  
Professionals

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[www.isacaoc.org](http://www.isacaoc.org)

An excerpt from the...

# ExpressLine

A Monthly Newsletter for the Leadership of ISACA<sup>®</sup>



## Certification Update

### Certifications to Date

Since their inception, 61,908 CISA, 9,724 CISM and 664 Certified in the Governance of Enterprise IT<sup>™</sup> (CGEIT<sup>™</sup>) certifications have been awarded.

### December 2008 Exam

Registration for the 13 December 2008 exams has now closed. Approximately four to six weeks prior to the exam, candidates will be sent a physical admission ticket and an e-ticket from ISACA.

To ensure the timely receipt of admission tickets and exam results, please urge candidates to report any changes in contact information to the certification department immediately.

If candidates have not received their admission ticket by 1 December 2008, they should contact the certification department immediately at [certification@isaca.org](mailto:certification@isaca.org). Please include the words "admission ticket" in the subject header for immediate attention.

### Exam Identification Policy

Candidates taking the exam will be admitted to the test center only if they have a valid admission ticket and an acceptable form of identification (ID). The ID must be a current and original government-issued ID that contains the candidate's name as it appears on the admission ticket as well as the candidate's photograph. All of these characteristics must be demonstrated by this single piece of ID, and the ID must not contain handwritten information. Any candidate who does not provide an acceptable form of ID will not be allowed to sit for the exam and will forfeit his/her registration fee. Any questions regarding exam identification should be directed to the certification department at [exam@isaca.org](mailto:exam@isaca.org).

### Exam Changes and Deferrals

Please remind exam candidates that changes to registration information, such as exam site or language, are free of charge through 11 October 2008. A US \$50 fee is required for all exam registration changes received between 12 and 17 October 2008. No changes will be accepted after 17 October 2008.

Candidates unable to take the exam can request a deferral of their registration fees to the next exam date. Deferral requests received on or before 17 October 2008 will be charged a US \$50 processing fee. From

18 October through 26 November 2008, a processing fee of US \$100 will be charged. Deferral requests will not be accepted after 26 November 2008.



### Reminder of CPE Hours

Please remind certificate holders that there are only three months remaining to earn their required continuing professional education (CPE) hours for the 2008 reporting year. To view the CPE policies, please visit [www.isaca.org/cisacpepolicy](http://www.isaca.org/cisacpepolicy), [www.isaca.org/cismcpepolicy](http://www.isaca.org/cismcpepolicy) or [www.isaca.org/cgeitcpepolicy](http://www.isaca.org/cgeitcpepolicy).

## Calendar of Events

Dates of conferences/events are indicated in **RED**; other dates and deadlines are indicated in **BLACK**.

### October

- 13-17 October ..... **ISACA Training Week**, Anaheim, California, USA
- 27-28 October ..... **IT Governance Forum, IT Audit Management Forum and Information Security Management Forum**, Scottsdale, Arizona, USA
- 28 October ..... **e-Symposium** on risk and compliance

### November

- 3-7 November ..... **ISACA Training Week**, Chicago, Illinois, USA
- 10-12 November ..... **Network Security Conference and Information Security Management Conference**, Amsterdam, The Netherlands
- 12 November ..... **IT Control Objectives for Basel II Symposium**, Zurich, Switzerland
- 13-14 November ..... **IT Audit Management Forum**, Zurich, Switzerland

### December

- 4-5 December ..... **IT Controls for Sarbanes-Oxley: The Symposium**, Dallas, Texas, USA
- 8-12 December ..... **ISACA Training Week**, New Orleans, Louisiana, USA

WEDNESDAY, NOVEMBER 5, 2008

4:00 – 7:00 PM (CHECK-IN AT 3:30AM)

Dinner – 6:00PM

Topic: Assessing Segregation of Duties and Sensitive Access in SAP

Speaker: Stephan Imbach  
Managing Director  
PriceWaterhouseCoopers LLP

Payments made at the door:

Members/Affiliate Organizations - \$30

Non-Members - \$35

Students and Professors - \$10 (First time FREE but reservations are still required)

Make checks payable to Orange County Chapter ISACA

To register for this meeting, please send an email to [registration@isacaoc.org](mailto:registration@isacaoc.org) with the date of the event as the subject. Please include your first and last name, organization, and job title information in the email.

Registration Deadline is **November 3, 2008**

Payments can be made on the day of the meeting. We regret we cannot accept credit card or late payments.



Orange County Conference Center  
300 South Flower Street  
City of Orange, CA 92868  
(714) 978-7021  
4:00pm Presentation  
6:00 Dinner and Chapter Meeting

**Directions to The Turnip Rose/Orange County Chapter Meeting:**

From the South OC. Take I-5 N towards Los Angeles. Take the CHAPMAN AVE exit. (The CHAPMAN near Anaheim Stadium, not the one in Fullerton!!) Turn right on CHAPMAN AVE. Turn RIGHT onto S FLOWER ST.

From Los Angeles. Take I-5 S towards Santa Ana. Take the THE CITY DR (Exit 107C) exit towards STATE COLLEGE BLVD. Turn RIGHT onto the City Drive South. Turn LEFT onto W CHAPMAN AVE. Turn RIGHT onto S FLOWER ST.

Go down a few blocks to the Orange County Conference Center on the right. The Turnip Rose is the name of the meeting room facility (the food is great!) There is plenty of parking at the rear of the complex.

